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TongaatHulett®

ANTI-BRIBERY & CORRUPTION COMPLIANCE DUE DILIGENCE QUESTIONNAIRE

GENERAL INFORMATION

INFORMATION REQUIRED	DETAILS
Company/entity name:	
Registration number and date of registration:	
Physical address:	
Telephone numbers:	

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QUESTIONNAIRE	YES/NO
<p>1. To the best of your knowledge, have you, your business partners or any of your staff been requested by any government or business official to pay a bribe or to make a facilitation payment (a modest payment to an official) to do their job? If yes, please supply details below:</p>	
<p>2. To the best of your knowledge, have you, your business partners or any of your staff made any per diem, cash or cash equivalent payments of any kind to any government or business officials? (a per diem is a daily living allowance payable to officials who spend time on your site) If yes, please supply details below:</p>	
<p>3. Have you, your business partners or any of your staff ever been convicted of a criminal offence or been investigated for a criminal offence? If yes, please supply details below:</p>	
<p>4. Has your company ever been implicated in investigations by the authorities? If yes, please supply details below:</p>	
<p>5. Provide details (if any) of any notices issued, inspections made, or enforcement actions taken by any governmental or other regulatory authority.</p>	
<p>6. Provide details of any operations or any situations which are (or have been) in breach of any legally enforceable requirements (statutory or otherwise) or in respect of which there is a significant risk of such a breach being found, irrespective of whether litigation has already begun or threatened.</p>	
<p>7. Is any government department, state owned enterprise or parastatal a current or prospective client?</p>	

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<p>If yes, please supply details below:</p>	
<p>8. Will your company engage with any government on behalf of Tongaat Hulett? If yes, please supply details below (see note 2.5 of RFP document):</p>	
<p>9. To the best of your knowledge, have you, your business partners or any of your staff sponsored any community development projects, provided corporate sponsorships or donations? If yes, please supply details below:</p>	
<p>10. Are any of your staff related to government officials who have influence over your area of business? If yes, please supply details below: If yes, please supply details below:</p>	
<p>11. To the best of your knowledge, have you, your business partners or any of your staff made any contributions to any political party, politician, elected official or candidate for public office in any country? If yes, please supply details below:</p>	
<p>12. To the best of your knowledge, do you, your business partners or any of your staff make use of any business partners, agents or intermediaries? (Including sub-contractors) If yes, please supply details below:</p>	
<p>13. To the best of your knowledge, have you, your business partners or any of your staff provided any gifts, meals entertainment, travel or promotional sponsorship to a government or business official in the last year? If yes, please supply details below:</p>	

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14. To the best of your knowledge, are you, your business partners or any of your staff subject to and do you abide by any anti-bribery & corruption legislation? If yes, please supply details below:	
15. Does your company have an anti-bribery & corruption policy? If yes, please attach a copy to this return:	
16. Is your business a part of any joint venture, partnership, consortium or other profit sharing arrangements? If yes, please supply details and explain the nature of the JV or other relationship below:	
17. Does any government official or government department have a direct or indirect financial interest in your business? If yes, please supply details below:	
18. Are you required to apply for key licenses, permits, and other approvals from government?	
19. Does your company have a documented commission structure? If yes, please supply details below:	
20. Is your company prepared to agree to anti-bribery & corruption clauses in your contract with Tongaat Hulett?	

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I, (full names)hereby confirm the accuracy of the information submitted above.

Signed

Date