

ANTI-BRIBERY & CORRUPTION COMPLIANCE DUE DILIGENCE QUESTIONNAIRE

GENERAL INFORMATION

INFORMATION REQUIRED	DETAILS
Company/entity name:	
Registration number and date of registration:	
Physical address:	
Telephone numbers:	
Names and identification numbers of directors and/or principals:	
Names and identification numbers of shareholders:	

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QUESTIONNAIRE	YES/NO
<p>1. Have you ever been requested by any government or business official to pay a bribe or to make a facilitation payment (a modest payment to an official) to do their job? If yes, please supply details below:</p>	
<p>2. Have you made any per diem, cash or cash equivalent payments of any kind to any government or business officials? (a per diem is a daily living allowance payable to officials who spend time on your site) If yes, please supply details below:</p>	
<p>3. Have you, your business partners or any of your staff ever been convicted of a criminal offence or been investigated for a criminal offence? If yes, please supply details below:</p>	
<p>4. Has your company ever been implicated in investigations by the authorities? If yes, please supply details below:</p>	
<p>5. Provide details (if any) of any notices issued, inspections made or enforcement actions taken by any governmental or other regulatory authority.</p>	
<p>6. Provide details of any operations or any situations which are (or have been) in breach of any legally enforceable requirements (statutory or otherwise) or in respect of which there is a significant risk of such a breach being found, irrespective of whether litigation has already begun or threatened.</p>	
<p>7. Is any government department, state owned enterprise or parastatal a current or prospective client? If yes, please supply details below:</p>	

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8. Will your company engage with any government on behalf of Tongaat Hulett? If yes, please supply details below:	
9. Have you sponsored any community development projects, provided corporate sponsorships or donations? If yes, please supply details below:	
10. Are any of your staff relatives of government officials who have influence over your area of business? If yes, please supply details below: If yes, please supply details below:	
11. Have you made any contributions to any political party, politician, elected official or candidate for public office in any country? If yes, please supply details below:	
12. Do you make use of any business partners, agents or intermediaries? (Including sub-contractors) If yes, please supply details below:	
13. Have you provided any gifts, meals entertainment, travel or promotional sponsorship to a government or business official in the last year? If yes, please supply details below:	
14. Are you subject to and do you abide by any anti-bribery & corruption legislation? If yes, please supply details below:	

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<p>15. Do you have an anti-bribery & corruption policy? If yes, please attach a copy to this return:</p>	
<p>16. Is your business a part of any joint venture, partnership, consortium or other profit sharing arrangements? If yes, please supply details and explain the nature of the JV or other relationship below:</p>	
<p>17. Does any government official or government department have a direct or indirect financial interest in your business? If yes, please supply details below:</p>	
<p>18. Are you required to apply for key licenses, permits, and other approvals from government?</p>	
<p>19. Do you have a documented commission structure? If yes, please supply details below:</p>	
<p>20. Are you prepared to agree to anti-bribery & corruption clauses in your contract with Tongaat Hulett?</p>	

I, (full names).....hereby confirm the accuracy of the information submitted above.

Signed

Date